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## **Challenges of high-brightness laser systems: a photon odyssey**

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**Abstract.** This paper's text is taken from a monograph written by the author in 1988. Some of the material contained here has been referenced in the open literature attributed to a private communication. This reprinting of the content of the monograph is and should be viewed as a historic paper documenting the author's thoughts and knowledge as of 1988 and does not reflect developments since that time. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: [10.1117/1.OE.52.7.071412](https://doi.org/10.1117/1.OE.52.7.071412)]

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## 1 Introduction

The brightness potential of a laser is inversely proportional to the square of its wavelength. Short wavelength lasers (SWLs), which I define as having a wavelength ( $\lambda$ ) of less than  $2 \times 10^{-6}$  m, or,  $2 \mu\text{m}$ , have an enormous potential for achieving high brightness with an accompanying reduction of power or telescope size. This compactness can lead to weight/volume reductions, which both enhance mission feasibility and reduce costs.

Once a SWL with good beam quality (BQ) is identified, then beam control becomes the key to success. Challenges abound! As the laser wavelength decreases, the phase aberration "bumps" in the beam path: man-made, natural and beam induced—become dramatically more important. In general, energy is scattered from the beam, and the beam itself is deflected. The result is a reduction in far field intensity. This latter parameter is defined as the beam power per unit area that can be projected onto a target. I will refer to this as "flux-in-a-bucket". Generally, it has units of  $\text{W}/\text{cm}^2$ .

To produce a nearly pristine beam at the target, careful photon management must be accomplished from "A to Z". Both passive and active beam control are essential. Passive techniques include high quality optics (precise figure, smoothness) and a near diffraction-limited SWL device. Active techniques could include mechanical or nonmechanical adaptive optics (AO). By applying this to the entire photon odyssey, one can achieve high-brightness SWL systems. The path is rough, but the payoff is prodigious! Let us now begin our photon odyssey . . . in search of short wavelength high brightness laser systems. First we introduce the guides. Freddy the Photon will show us the many obstacles he faces in getting from SWL laser device to the target. His companion, Felix the Flux, represents many SWL photons. Both appear in Fig. 1. These will serve as our animated guides as we trek through the SWL "photon foxholes." Our quest for high brightness laser systems starts at the source . . . the laser device. Source brightness is

$$B = \frac{P}{n^2 \lambda^2} \left\{ \frac{W}{\text{cm}^2} \right\}, \quad (1)$$

where  $P$  is power  $\{W\}$ ,  $n$  is the BQ,  $\lambda$  is the wavelength  $\{\text{cm}\}$ ; brightness is traditionally defined as  $B_o = BA \{W/\text{steradian}\}$ , where  $A$  is the system's telescope clear aperture area.

Of the several paths to higher source brightness, increasing power is the least attractive! Reasons include:

1. Brightness only scales linearly with power Eq. (1);
2. Device costs scale approximately with power (the oxygen-iodine laser is an apparent exception, with  $P^{1/2}$  scaling);
3. Power induced optical train degradations eventually lead to decreasing brightness.

If a SWL laser, wavelength  $\lambda$ , is magnified and focused on a target at distance  $Z$ , then the ideal intensity delivered is

$$I_{\text{IDEAL}} = \frac{LPA}{\lambda^2 n^2 Z^2} \left\{ \frac{W}{\text{cm}^2} \right\}, \quad (2)$$

where  $A$  is again the telescope aperture area and  $L$  is system absorption plus atmospheric and aero-optic scattering losses. Equation (2) is the ideal laser system peak far-field intensity, or Strehl. The larger  $I_{\text{IDEAL}}$  the more lethal the system becomes. A SWL can achieve this lethality more easily (e.g., less power, smaller telescope size) than longer wavelength systems.

Before leaving this ideal situation, let's note the potential payoff of SWLs. For example, a reduction in wavelength from  $\lambda \sim 4 \mu\text{m}$  (deuterium fluoride chemical laser) to  $\lambda \sim 0.4 \mu\text{m}$  (excimer laser), with all other parameters in Eq. (2) being identical, yields a brightness increase of 100 for the SWL Excimer laser system! This wavelength dependence of  $I_{\text{IDEAL}}$  is shown in Fig. 2, and graphically shows the lure of SWL systems!

The best path to high brightness is via high-quality SWLs.

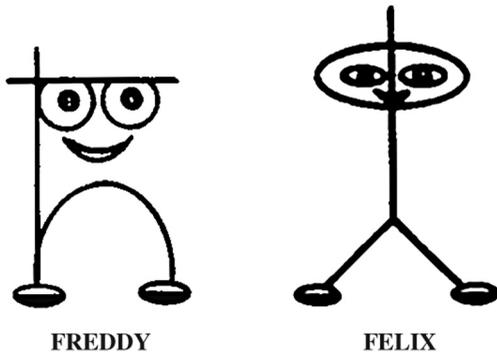


Fig. 1 Freddy the Photon and Felix the Flux.

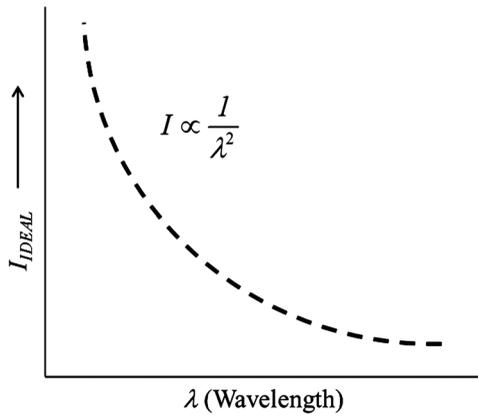


Fig. 2 Ideal short wavelength lasers (SWLs) far-field peak intensity.

**2 Cost of SWL Systems**

Recent data from the Air Force Weapons Laboratory’s (AFWL) Chemical Oxygen-Iodine Laser (COIL) suggests that the cost scaling for telescope aperture is  $A^{3/2}$  so that the costs,  $C$ , of some SWLs should scale as  $C \sim p P^{1/2} + a A^{3/2}$ , where the premultipliers  $p$  and  $a$  are constants. The SWL system brightness is just source brightness times telescope aperture, or

$$B = \frac{PA}{(n\lambda)^2}$$

and setting  $\partial C/\partial A = 0$ , we find\*

$$C_{\min} \propto B^{3/8} \lambda^{3/4}. \tag{3}$$

For example, for constant brightness, a factor of 4 reduction in  $\lambda$  results in a 75% cost savings (e.g.,  $2 \mu\text{m}$  system versus  $0.5 \mu\text{m}$ ). Alternately, given SWL can be scaled an order of magnitude in brightness for a cost growth factor of  $\sim 2.4$ .

SWL systems generally cost less per brightness unit due to reduced power, aperture requirements.

\*Alternate models suggest  $C = pP + aA^{3/2}$ , which would lead to  $C_{\min} \propto B^{3/5} \lambda^{6/5}$ .

**3 Challenge of Achieving SWL High Brightness**

The path to SWLs is paved with phase aberrations or “bumps”. As the laser wavelength decreases, the bumps in the beam path magnify in importance. A real SWL laser system suffers from three classes of aberrations.

1. Man-made (e.g., laser device BQ and optics imperfections)
2. Natural (e.g., beam path or turbulence induced by atmosphere [and flow around airborne platforms, aero-optic])
3. Laser induced (e.g., heating of optics, beam path, and atmosphere)

As our laser beam conducts its photon odyssey from device to target, it encounters a veritable blizzard of phase “bumps,” each varying in strength and size. The result can be a beam which is jittered, spread, and markedly reduced in peak intensity. While careful photon management can alleviate these effects, ideal performance, Eq. (2), is not achievable.

To understand how these phase aberrations affect the laser beam, we note that “bumps” can be placed in two classes—those small compared with the beam diameter  $D$  and those larger than  $D$ . Figure 3 shows these two classes. The small phase aberration, size  $b$ , scatters photons at characteristic angle  $\theta_b \sim \lambda/b$ . The amount of scatter depends on both the strength and dimensions of the bump. This strength scales as the difference in refractive index between the bump and its surroundings. The larger phase bump  $B$  acts to steer the entire beam over angle  $\theta_B$  dependent on refractive index, but not wavelength. Phase bumps sizes between  $b$  and  $B$  can be expressed as a combination of the two extremes.

The result of our SWL photon odyssey is a far-field beam suffering a marked reduction in intensity because of scatter and beam spread. We write this as

$$I_{\text{REAL}} = \left[ \frac{1}{1 + (\theta_j/\lambda)^2} \right] e^{-\left(\frac{2\pi\phi}{\lambda}\right)^2} [I_{\text{IDEAL}}], \tag{4}$$

where  $\theta_j$  is the system jitter (tip/tilt) and  $\phi$  is the system root mean square (RMS) phase variance.

Equation (4) consists of three parts. The term in brackets at the end of the equation is just the ideal brightness,  $I_{\text{IDEAL}}$  [Eq. (2)]. The exponential term reflects losses due to wide angle scattering (i.e., phase bumps small compared with beam diameter). Finally, the term in front brackets expresses

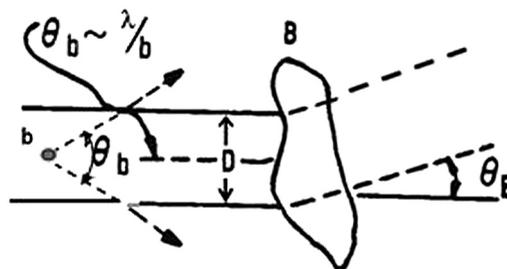


Fig. 3 Phase aberration effects on beam.

reduction in brightness because of beam jitter (recall this last aberration is driven by bumps larger than the laser beam or by system mechanical vibrations).

Figure 4 compares  $I_{IDEAL}$  and  $I_{REAL}$ . It shows the quintessential challenge of SWL systems. For convenience, we set  $L = 1$  (actually, atmospheric absorption/scatter shows a strong wavelength dependence. In practice, for applications requiring propagation through the atmosphere, one picks a laser whose wavelength(s) fall in a transmission “window”). Note the following:

1. The ideal performance  $I_{IDEAL}$  Eq. (2) is NOT achievable.
2. At sufficiently long wavelengths ( $\lambda \gg 2 \mu\text{m}$ )  $I_{IDEAL} \approx I_{REAL}$  (i.e., system phase aberrations are unimportant).
3. The real laser system  $I_{REAL}$  reaches a maximum ( $\lambda^*$ ) as the wavelength decreases, because of the ever increasing importance of phase aberrations.
4. In general, the optimal wavelength  $\lambda^*$  depends on both small- and large-scale system phase aberrations. In other words,  $\lambda^*$  depends on all system aberrations.
5. Implement an AO system that will correct as many as the phase aberrations as possible, and so “recover” lost BQ.

Figure 5 depicts the major components of a laser system. Figure 6 shows Freddy’s quest for SWL high brightness. To realize the potential of our SWL system, one must meticulously orchestrate the photons to enable a high BQ beam to arrive at the target. Much as a conductor brings harmony to a collection of independent musical instruments, so must the laser system engineer orchestrate Freddy through the system, which includes a mine field of “jitters” and high order phase aberrations. A high quality SWL is the door to very high brightness laser systems. The lock is system phase aberrations—natural, man-made and laser beam produced—that act to dramatically reduce BQ. Beam control is the key, which if used creatively and coherently, can open the door to high brightness SWLs. Note that for a given set of (uncorrected) aberrations, the system Strehl performance actually decreases for  $\lambda < \lambda^*$ .

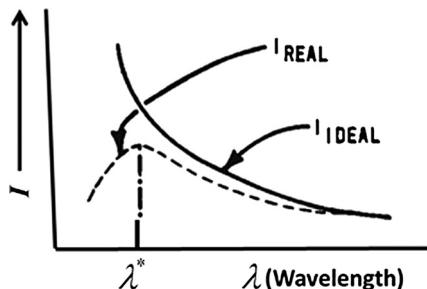


Fig. 4 Far-field intensity scaling with wavelength: real versus ideal.

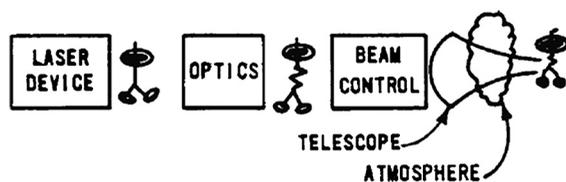


Fig. 5 Major components of laser systems.

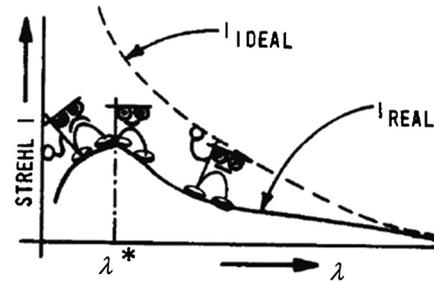


Fig. 6 Freddy’s quest for brightness.

The effects of these uncorrected phase aberrations on far field performance are shown in Fig. 7. Our ideal beam is shown for reference. The real beam at the target consists of a central unaffected spot and a “halo” of energy due to aberration-induced beam jitter and spread. The resulting beam is markedly reduced from the ideal in both peak intensity and “flux-in-the-bucket”. Again the challenge of beam control is to bring the real SWL performance close to the ideal.

The path to a high brightness, SWL system is very difficult; first, a high quality SWL laser must be identified. Then, principal challenges are the many optical train phase aberrations that magnify rapidly with reduced wavelength, and if uncorrected, can emasculate system far-field performance. The premier key to success is beam control. Careful photon management is essential to realizing the potential of high brightness SWL systems. The second key to success is also beam control!!

The remainder of this paper will describe the photon odyssey from device to target. First, some general features of laser systems will be broached. The various sources of optical phase aberrations, man-made, natural, and laser-induced will be examined. Next, effects on the optimum laser wavelength because of wide-angle scatter, beam spread and nonlinear will be plumbed. We will see that each uncorrectable source of phase aberration causes significant reductions in Strehl, or far-field performance. AO techniques have the potential to correct certain system aberrations, and so recover some Strehl. However, today’s mechanical AO systems are cumbersome and costly. Nonlinear optical (NLO) methods will be presented as offering a quantum breakthrough in SWL beam control! How a given SWL laser system scales in brightness will be studied. After noting that monolithic systems (i.e., single laser/telescope) have brightness limitations ...because of either engineering or physics constraints ...we will examine how lasers and

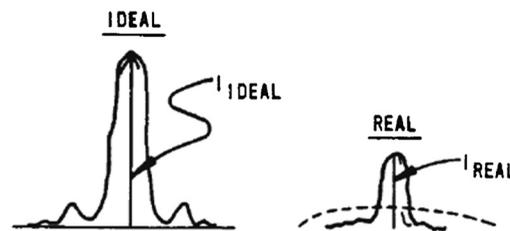


Fig. 7 Effects of short wavelength lasers (SWLs) phase aberrations on far-field performance.

telescopes can be coupled to yield very high brightness systems. If such systems can be rendered highly coherent, their performance will be close to that of a monolith having the same equivalent power and telescope aperture area.

Conclusions drawn in comparing these exciting approaches are based wholly on basic physics and the arguments I will develop in this paper.

The high energy laser (HEL) “expert” is the guy who predicts your system will cost twice as much, takes twice as long and achieve one-half the performance. The reason he is an expert is because he’s been right before ... and he’s probably dead right now!

#### 4 General Laser System Features

Lasers have several intrinsic advantages as weapons systems:<sup>1</sup>

1. Delivery at speed of light.
2. High rate of fire/large magazine (limited only by fuel supply).
3. Agility—maneuvering target, multiple targets.

Some disadvantages of lasers:

1. SWL interaction with materials is generally a surface absorption phenomenon. Thus, destruction requires melting or vaporizing the surface in order to reach the target innards.
2. Target material countermeasures require markedly less weight/volume penalty than kinetic energy weapons.

In fact, blending lasers and kinetic energy weapons can yield synergy:

1. Lasers intrinsic agility and large number of “rounds” is effective against rapidly maneuvering or multiple targets; kinetic energy weapons are more susceptible to unplanned target maneuvers. On the other hand, laser material countermeasures can be very effective—little target weight penalty (e.g., reflective surfaces or high heat of vaporization materials). Since laser energy is absorbed at the surface of most materials, either countermeasure inhibits the laser from melting or vaporizing the surface, and thus, reaching the more vulnerable viscerals. But such nuances are quite ineffective against kinetic energy weapons.

**Table 1** Demonstrated performance of several extant lasers.

Type	P (W)	λ (μm)	n	B (W/cm <sup>2</sup> )	Comment
HF/DF <sup>1</sup>	>1 × 10 <sup>6</sup>	3.8	2	>1.8 × 10 <sup>16</sup>	SDI MIRACL
O <sub>2</sub> I	3 × 10 <sup>4</sup>	1.3	1.2	1.2 × 10 <sup>16</sup>	SF COIL
Excimer	5 × 10 <sup>3</sup>	0.5	1.5	1.4 × 10 <sup>16</sup>	AF/SDI EMRLD <sup>a</sup>

<sup>a</sup>Full power demonstration expected circa September 1988. First single pulse lasing observed 21 May 1988.

Recall the device ideal brightness in Eq. (1) is  $B_{IDEAL} = P/(n^2\lambda^2)$ . Table 1 summarizes demonstrated performance of several extant lasers.

#### 5 Laser Device Scaling

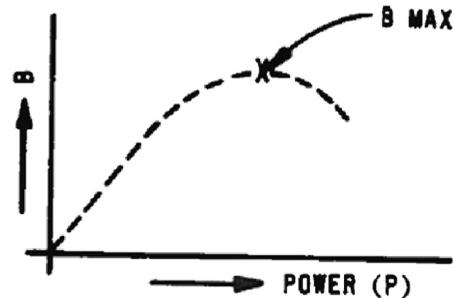
A monolithic laser system consists of a single device and telescope. Such a system has a brightness “ceiling” established via either physics or engineering limitations. I refer to this “biggest monolith with good BQ” as the unit cell. As this single device is power scaled, energy management becomes more difficult, leading eventually to intrinsic loss of BQ or extrinsic optics damage from the increasing beam flux. Thus, a monolithic laser system has a maximum brightness

$$B_{max} = \frac{PA}{(n\lambda)^2} \tag{5}$$

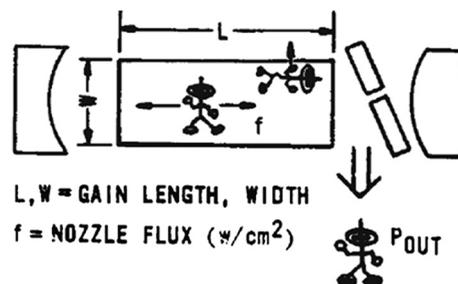
Attempting to increase power beyond this value can actually cause system brightness to decrease! Figure 8 shows brightness saturation for a single SWL.

Further power scaling requires coupling lasers together. Such has been demonstrated for CO<sub>2</sub> lasers by the Strategic Defense Initiative Organization and the AFWL. We, at the AFWL recently showed coupling for oxygen-iodine (O<sub>2</sub>I) lasers, and plan near term similar experiments for excimer lasers. As a single laser module grows, three effects combine to degrade BQ per Fig. 9.

1. The longer path in lasing direction increases phase aberrations.
2. The increasing flux on cavity or external optics causes thermally induced phase distortion, and ultimately damage.
3. The lateral dimension of the active medium is limited by amplified spontaneous emission threshold (i.e., lasing may occur transverse to the intended direction).



**Fig. 8** Single device brightness ceiling.



**Fig. 9** Laser device scaling.

These effects act either individually or in concert to limit the maximum brightness of a unit cell. Now, let's review the physics that sets the size of the unit cell. The power in the laser cavity (Fig. 9) is just  $P \sim L W f$ , with  $f =$  nozzle flux ( $W/cm^2$ ). From Eq. (5), system brightness,  $B$ , is proportional to  $P/n^2$  and  $n \propto e^{(2\pi\phi/\lambda)^2}$ , where  $\phi$  is the system beam (power)-induced RMS phase aberrations (note that  $\phi \propto L \Delta_{RMS}$ , where  $\Delta_{RMS}$  is the density variance in the lasing direction).

Now observe from the above relation that power scales  $\sim$  linearly but  $n$  grows exponentially! Thus, beam brightness saturates and eventually decreases! Every monolith or unit cell has scaling limits! At modest powers  $B \propto P$  because the BQ is approximately constant; however, as the laser cavity volume expands, BQ degrades rapidly. Thus, brightness will eventually be limited by BQ degradation. A second concern is the effects of growing cavity flux on resonator optics.

As an example, consider likely scaling limitations for an  $O_2I$  unit cell. Our 30 kW, in-house  $O_2I$  (COIL) demonstration suggests that if linear scaling is operative, a high quality megawatt-class  $O_2I$  is plausible! BQ degradation will become significant eventually (perhaps in the  $\sim 5$  MW regime); even so, a closed-loop AO system could in principle recover some performance. Low order variations in refractive index, e.g., tilt and focus, can be corrected in the resonator; however, higher order (HO) corrections require a complete AO system. This, then, would leave us with a structures limitation—cavity optics distortion/damage. This threshold might be realized in the 5 to 10 MW range. Thus, based on simple scaling laws and a 30 kW demonstrator, the  $O_2I$  unit cell can probably be scaled to the multi-MW regime while retaining good BQ (via AO corrections). Further power scaling requires coherently lashing together multiple lasers.

A monolithic SWL system has a power scaling ceiling, beyond which system performance degrades to unacceptable levels. Expanding the device cavity volume eventually results in markedly reduced BQ—from flux induced aberrations or structural/optical damage. Once a maximum achievable “unit cell” brightness is known, further scaling requires coupling together multiple cells or laser systems. The oxygen-iodine ( $O_2I$ ) laser has been scaled to  $\sim 30$  kw with excellent BQ by the AFWL. Projections suggest that a high quality  $O_2I$  laser in the several megawatt milieu is feasible.

## 6 Relay Optics: Orchestrating the Beam from the Device to the Atmosphere

Relay optics transport the high power (HP) laser beam from the device to the projecting telescope. For example, windows may be used to accommodate a pressure drop (e.g., device cavity to ambient). A HP beam transmitting such a window will suffer absorption in both the coating and the bulk—and will result in thermal distortion of the window with subsequent phase aberrations imposed on the HP beam. Similarly, reflective optical flats, AO, aperture sharing elements (ASE)—cooled or uncooled—plus a telescope are required. Figure 10 shows an optical suite which, of course, must operate in harmony to deliver a high quality beam to the

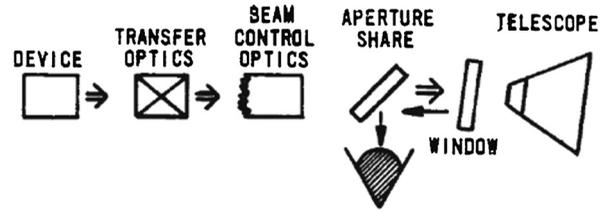


Fig. 10 Laser system optics suite.

target. We next plumb performance of these vital components in transporting a HP SWL beam.

## 7 SWL Windows: a Critical Element

Windows are crucial to SWL systems to either:

1. Isolate one pressure regime from a second (e.g., low pressure laser cavity from  $\sim$ ambient beam path) or
2. ASEs or beam splitters.

Two kinds of windows have been developed for HP lasers— aerodynamic and material. Aerodynamic windows use a high velocity gas sheath across the laser port which is to be “sealed”. A pressure differential  $\Delta p \sim 1/2 \rho V^2$  is established to insulate the “inside” from the “outside,” with  $\rho$  and  $V$  the shear layer density and velocity, respectively.

There are two major disadvantages:

1. The amount of gas flow required and the SWL Strehl loss because of the aero window. For example, a 10 cm port would require  $\sim 5$  kg/s to maintain about one atmosphere pressure differential. Thus, a system designed to operate for 100 s would require 500 kg of gas!
2. The second problem magnifies at short laser wavelength— aero window induced optical aberrations. These “air curtains” are shear layers having density correlation lengths or phase “bump” sizes,  $b$ , much less than the aperture diameter. The resulting loss in beam Strehl is approximately

$$I \propto e^{-\left(\frac{2\pi\phi}{\lambda}\right)^2},$$

where  $\phi$  is the RMS phase variance for our aero window and  $\lambda$  is the wavelength. The correction of this aberration via AO is implausible on two accounts: bandwidth requirements and AO field of view (FOV). Typical shear layer phase bumps ( $b$ ) are 1 to 2 mm for 10 cm windows, with velocities ( $V_F$ ) for Mach 2–3. Thus

$$\text{Bandwidth} \approx \frac{V_F}{b} \approx \frac{6 \times 10^4 \text{ mm/s}}{2 \times 10 \text{ mm}} = 300 \text{ kHz}.$$

This is well beyond mechanical AO capabilities and terribly stressing for advanced nonlinear AO techniques. Secondly, the scattering angle of 1 mm bump for 1  $\mu\text{m}$  wavelength,  $\theta \sim \lambda/b \sim 10^{-3}$  rad may well exceed the FOV of the AO system. Moreover, the aero window Strehl loss can be a few tens of percent! This scattered power—within the beam train—presents a tough problem (e.g.,  $\sim 20\%$  of a HP SWL is still a HP SWL)! In particular, sensors and structures are at risk.

Aero windows are impractical for HP SWL systems. The highly-turbulent shear layers result in strehl losses of a few tens of percent per window; This both markedly reduces system brightness and causes potentially severe laser energy scattering within the optical train.

Plan “B” for our SWL system is a material window. This window generally has an antireflective (AR) coating to minimize reflections from the surfaces. Though such coatings are generally only  $\sim$  wavelengths thick, laser energy is absorbed in both the coating and bulk material. Figure 11 shows a laser beam incident on our window. This beam generally has a nonuniform intensity profile, as shown by the notch in “Felix the Flux.”

One of five events can occur to each photon:

1. Transmission with little or no change in direction.
2. Reflection from either surface.
3. Scatter due to intrinsic coating or substrate imperfections.
4. Absorption—and heating of the window. This results first in a temperature gradient between front and back surfaces, and voila—a bowing! A slight focusing change of the transmitted beam occurs; however, this can be nearly corrected—if sensed—by our telescope.
5. Scattered from the beam-induced index-of-refraction variations in the window. This results from beam intensity nonuniformities being mapped into window as hot spots. Sequel SWL flux is scattered from these bumps (I call this beam intensity mapping). Because these bumps are smaller than the beam diameter, energy is scattered at wide angles, leading to a reduction in “flux in the bucket” at the target. I present a simple argument to show that the effects of these beam-induced phase aberrations on our HP SWL can be severe!

On a microscopic scale, hot spots are formed within our window due to absorption of a nonuniform incident beam. Each little  $\Delta T_i$  temperature causes index of refraction  $\Delta n_i$  and window thickness  $\Delta d_i$  changes. Each Freddy the Photon, in turn, has a different optical path, resulting in a phase shift across the beam. The emerging beam is complex, with window-induced nonuniformities in both intensity and phase. We estimate the resulting Strehl loss from

$$I \approx e^{-\left(\frac{2\pi\phi_W}{\lambda}\right)^2},$$

where  $\phi_W$  is the window-induced RMS phase variance, and

$$\phi_W \approx \left(\frac{\Delta n}{\Delta T}\right) d \Delta T_{\text{RMS}}.$$

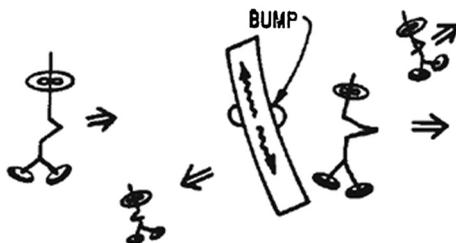


Fig. 11 Short wavelength lasers (SWL) beam/window interaction.

where  $(\Delta n/\Delta T)$  is the optical distortion coefficient and  $d$  is the window thickness. Now the optical distortion coefficient may be either positive or negative, but a typical SWL window (e.g.,  $\text{CaF}_2$ ) is  $-0.8 \times 10^{-5}/\text{K}$ . This assumes that the intrinsic window stresses are small; if not, the Strehl loss will generally be even greater!

Cooling SWL windows will be a tough challenge! Edge cooling is generally ineffective. A novel advanced technique streamed an index-matched coolant through the bulk window. This is promising—but quite untested! Very thin material membranes have been examined as candidate windows, and have been shown to have low absorption under intense laser beam loading. However, these “pellicles” suffer from two disadvantages:

1. Strength is questionable under a pressure differential;
2. The reflected energy is of limited usefulness because of membrane vibrations.

Development of a material window is a crisp challenge for an SWL system. Material windows must have extremely low absorption and good intrinsic quality. Most windows require coatings for energy management; these can have absorption levels of the same order as the window itself! Coatings also act to scatter photons leading to sensor or structural damage. Beam-induced temperature variances of order 1 k are sufficient to cause over a 50% strehl loss from a single window! Convective cooling can mollify surface effects, but is ineffective for window bulk absorption. Advanced cooling techniques, such as low absorption, laminar index matched flow through bulk window are approaching HP proof-of-principle testing at the AFWL. Cooling techniques are probably essential for high brightness SWLs and are unproven! The development of very low absorption, cooled windows is a prerequisite to fielding many high brightness SWL systems.

## 8 SWL High Power Mirrors: an Important Element

Elements in this suite include device cavity and transfer optics, deformable mirrors (DMs), beam steering mirrors and the telescope. Each mirror generally has applied a highly reflecting (HR) coating, tailored to the laser wavelengths(s). Most HP applications demand reflectivities well above 99%. Figure 12 shows our beam impinging on a mirror. Four outcomes are possible for each photon:

1. Specular reflection.
2. Scatter from intrinsic coating or substrate imperfections.
3. Absorption, with subsequent substrate heating.
4. Scatter from beam induced “phase bumps.”

Mirrors may be cooled or uncooled; however, because no laser energy is transmitted, heat exchanger (HEX) technology can be effectively used to minimize beam intensity mapping effects. Beam-induced aberrations are generally significantly less than that of windows. However, cooled optics present two principal difficulties:

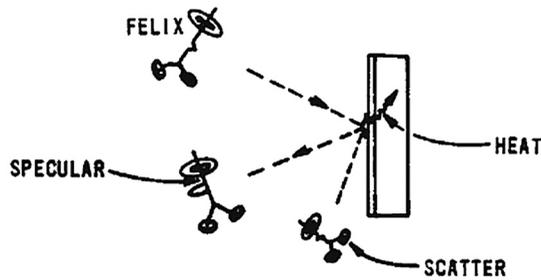


Fig. 12 Short wavelength lasers (SWL) beam/mirror.

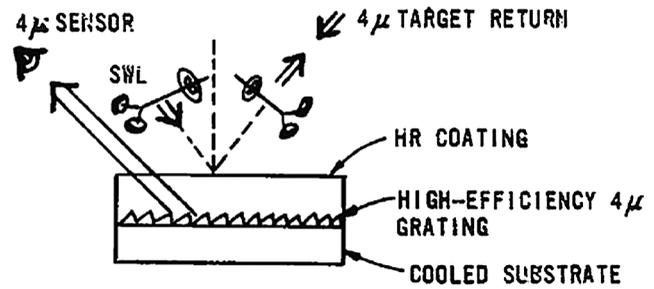


Fig. 13 A buried grating aperture sharing elements (ASE).

1. Efficient HEX technology is complex. This increases HP optics costs;
2. Cooled optics generally have thin face sheets ( $d < 1$  mm) to minimize both the temperature gradient across the faceplate and beam intensity mapping. The rapidly flowing coolant can cause structural vibrations, which in turn drive mirror jitter. Typical HEX channels are  $g \sim 1$  mm, with flow velocities ( $V$ ) of 0.1 – 1.0 m/s for high flow systems. Coolant-induced frequency,  $f$ ,  $f \approx V/g \approx 100$  to 1,000 Hz, can induce drum modes in the face sheet as well as  $x$ ,  $y$  tilt; beam steering mirrors are unable to cope with this HO jitter. The unfortunate result, if not corrected by our AO subsystem, is beam spread at the target—that is, reduced flux in the bucket!

A quantum advance may be in the offing as a result of low-flow HEX research sponsored by the AFWL over the past two to three years! Traditional HP HEXs use metal (e.g., molybdenum) face sheets with high coolant pressures/flow rates. Distortion coefficients are typically  $\sim 40 \text{ \AA/W/cm}^2$ . For example, an absorbed laser intensity of  $50 \text{ W/cm}^2$  would result in faceplate distortion of  $2000 \text{ \AA} \sim \lambda/5$  for a SWL! Corresponding coolant flows are  $\sim 4 \text{ g/s/cm}^2$ . Our low flow HEX concept uses a thin ( $\leq 0.1$  mm) ULE (glass ceramic) face sheet! The distortion coefficient of ULE is only  $\sim 4.5 \text{ \AA/W/cm}^2$ ! Moreover, the lower flow rate—about  $1 \text{ g/s/cm}^2$  yields near laminar flow condition. Most importantly for SWL systems, the flow-induced jitter for low flow HEX is  $< 10\%$  that of “traditional” HP HEXs. Although power handling capabilities of this advanced concept is somewhat less, it is still highly attractive for several potential SWL applications.

SWL mirrors are a vital element of any high brightness SWL system. Development risks are moderate. HP mirror technology is more mature than HP window technology! Because our mirrors can use efficient HEX technology, beam induced phase distortions in the optic can generally be controlled. If an active HEX is required, cost and coolant induced jitter become important factors. The development of simple, efficient low flow HEX is an important step to realizing HP SWL systems. Such a concept has been developed at the AFWL which marries a thin ULE facesheet with a laminar flow ULE HEX. This promises a low-jitter/HP handling capability which could be a major advance for SWLs

## 9 SWL Aperture Sharing Elements: a Crisp Challenge

Many high brightness SWL systems require an ASE (material window is an implausible candidate, as noted earlier). This element separates the outgoing (HP) laser beam from the target-return tracking beam (e.g., an active— $4 \mu\text{m}$  laser tracker). Figure 13 shows a simple buried grating. Its task is to efficiently reflect a HP SWL while directing an axial incoming (low power) tracker return to a suitable sensor array. There are strong motivations from all beams sharing the same optical axis—boresiting is automatic, and beam control, in the presence of misalignments, jitter or high order aberrations is greatly simplified.

The downside is the knotty optical engineering challenge of developing an ASE. The HP SWL reflects from the HR front surface. The target return laser beam ( $\lambda \sim 4$  to  $10 \mu\text{m}$ ) is diffracted by the grating to the tracker sensor. The challenge is not building such ASEs—but in managing the thermal energy from the HP SWL that is absorbed at the front surface and/or the bulk (if the uncooled substrate is somewhat translucent to the SWL). This optical engineering challenge is particularly pithy because an ASE is generally composed of several different materials, each having unique thermo-optical properties. As this element is heated by the HP beam, its optical performance must be invariant.

A HP ASE is required for many high brightness SWL systems. Such elements must efficiently propagate at least two distinct bandwidths—the HP SWL and the low power target return signal. The challenge is to manage the HP beam such that absorbed energy does not severely distort/destroy the structures. ASE must be built and demonstrated at high flux to enable many SWL missions.

## 10 Optical Coatings: Every SWL System Needs Them

Coatings on optics can perform several functions, including

1. Environmental protection.
2. Minimizing reflections for transmissive optics (AR-coatings).
3. Maximizing mirror reflectivity (HR-coatings).

The most important parameter for HP SWL coatings is absorption—this results in thermal-induced optics distortions. Of course, the ideal coating is absorption-free

(do not invest in ocean property in New Mexico or “transparentium”). Low absorption coatings [ $<200$  parts per million (PPM)] for  $1.3 \mu\text{m}$  have been demonstrated in laboratory tests on “coupon” samples (i.e., diameters of order few centimeters). Two essential questions remain:

1. Scalable to 30 to 50 cm HP optics with HP absorption  $<200$  PPM?
2. Weather factor—that is, do the coatings remain pristine once fielded?

Neither of the questions have been adequately addressed; both answers are required before building a HP SWL laser system.

In conclusion, low absorption coatings are required for all high brightness SWL optics. Scalability to  $\sim 50$  cm dia must be shown under high laser flux. Longevity under field conditions is vital. Coating homogeneity is also as important figure of merit, as scattered laser energy can reduce signal-to-noise for system sensors.

## 11 Atmospheric Propagation

A HP laser beam propagating from a telescope diameter  $D$  to a target at distance  $T$  through the atmosphere will experience both absorption and scattering. Absorption can result in nonlinear effects such as thermal blooming (TB) or stimulated Raman scatter (SRS). Photons can suffer Rayleigh scatter (molecular), aerosol scatter and turbulence-induced scatter or jitter.

Turbulence is a collection of index-of-refraction variances called “eddies”. Local turbulence is due to flow effects and heating around and within the [ground based (GB)] telescope. Atmospheric turbulence is due to natural effects. Both act to degrade a SWL GB system. Eddies are just density fluctuations, in turn, driven by atmospheric temperature and pressure fluctuations. “Old Sol” is the prime mover for natural turbulence! These eddies can be put in two classes (recall Fig. 3): those small compared with the beam diameter ( $D$ ) and those much larger (in fact, there are a nearly continuous spectrum of eddy sizes).

Small eddies (size  $b \ll D$ ) cause the beam to scatter at an angle  $\theta \sim \lambda/b$ , where  $b$  is the eddy size. Note this angle exceeds the SWL laser diffraction angle  $\theta \sim \lambda/D$  and so these photons are generally scattered outside the target aim point. Large eddies (size  $B \gg D$ ) tend to deflect or jitter the entire beam. The amount of resulting jitter depends on the strength of turbulence but not on the SWL wavelength. Intermediate eddies can be described as a combination of “big eddy and small eddy”! The effect of turbulence on far-field performance is identical with that of all the other phase bumps or aberrations along our photon odyssey. Jitter spreads the effective spot size on the target while HO aberrations (i.e., wide angle scattering) reduces the Strehl or flux in the bucket, but does not (to first order) effect spot size. Both phenomena, however, serve to decrease far-field performance, and must be successfully broached by an AO subsystem to enable short wavelength ground-based laser (GBL) applications.

Felix the Flux also experiences atmospheric scatter and absorption during his odyssey to the target. Natural or man-made aerosols both scatter and absorb laser energy, as do atmospheric molecular constituents. Rayleigh scattering has a strong wavelength dependence  $\sim 1/\lambda$ , but above about  $1 \mu\text{m}$  wavelength is negligible. Aerosol scattering dominates for  $\lambda > 0.8 \mu\text{m}$ . Figure 14 shows SWL atmospheric absorption/scattering losses for propagation from  $\sim$  sea level to space. Note that absorption is dominated by water, and to a lesser extent, by  $\text{CO}_2$ . Clearly, one would not select lasers with wavelengths in the  $1.1$  to  $1.2 \mu\text{m}$  or  $1.35$  to  $1.5 \mu\text{m}$  domains!

High-power SWL absorption by atmospheric aerosols and molecules can cause a more insidious effect on the beam, e.g., thermal blooming. The absorbed laser energy heats the air, causing both index of refraction and local density changes. The sequel laser beam is then phase aberrated by the heated medium. While slewing and natural winds mollify TB, it can nevertheless have a debilitating effect on SWL far-field performance. Furthermore, the correctibility of this aberration, which is coupled intricately with natural turbulence effects, is today a highly arcane topic! In particular, I know of no experiments that have demonstrated atmospheric compensation for a HP SWL in the presence of both TB and natural turbulence effects!

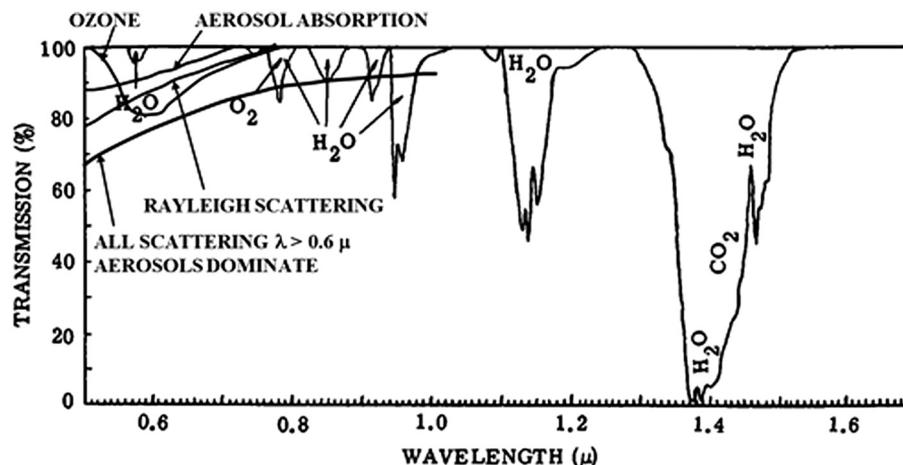


Fig. 14 Atmospheric scatter/absorption from molecular and aerosol constituents for sea level to space propagation (Ref. 2).

Let's now turn to sizing the actual potential degradation of TB on our SWL beam.

Gebhardt<sup>3</sup> has shown that the Strehl loss for TB scales as

$$I_{TB} \approx \frac{1}{1 + kN^2},$$

where  $k$  is a constant, and

$$N \propto \frac{P_{abs}Z}{V_w\lambda D},$$

where  $P_{abs}$  is the absorbed power,  $Z$  is the path length,  $V_w$  is the cross wind velocity,  $D$  is the diameter, and  $\lambda$  is the wavelength. Assuming that  $N$  is constant, the above equation has the form

$$I_{TB} \propto \frac{1}{1 + (C/\lambda^2)},$$

where  $C$  is a constant. Recalling that the general Strehl loss (neglecting jitter) scales as

$$I' \propto \frac{K}{\lambda^2} e^{-\left(\frac{2\pi\sigma}{\lambda}\right)^2}.$$

We then apply or “turn on” TB by multiplying  $I'$  by  $I_{TB}$ , or

$$I \propto \frac{K}{\lambda^2 + c} e^{-\left(\frac{2\pi\sigma}{\lambda}\right)^2}.$$

Note this has the precise form of optical jitter, Eq. (4). Thus, TB effects have the same form in our performance equation as does beam jitter!

A spectrum of other atmospheric nonlinear effects can be induced by a HP SWL. These include SRS and air breakdown. However, SRS has thresholds above— $10^6$  W/cm<sup>2</sup> and air breakdown intensities are several orders of magnitude higher. In general, TB is the dominant atmospheric nonlinear degradation source for SWL GB systems.

Atmospheric TB can severely degrade a HP SWL GB system. The effect is caused by laser absorption by aerosols/molecular constituents. Subsequent beam induced heating of the air establishes an aberrated medium for the propagating HP beam. A further wrinkle; TB interacts in a complex fashion with natural optical turbulence. Atmospheric phase compensation in the presence of both effects has not been demonstrated at any level! AOs techniques must be developed and proven effective to enable scaling of SWL GB systems to very high brightness. In examining the wavelength scaling of SWL systems we find that TB can be treated as a beam jitter.

### 12 SWL Target Effects: the Omega Point!

The last—and most important—step on our SWL photon odyssey is the target itself! Figure 15 highlights Felix's meanderings from device to target. Note that many of his photon friends (Freddies) are lost along the way—because of absorption or scattering. Even the remaining flux has aberrations, only some of which can be “ironed out” (removed)

by AO (we will broach AO systems next). The beam arriving on target has suffered both a spread and a Strehl loss. In other words, the flux-in-the-bucket is considerably smaller than expected for a “diffraction-limited” system.

Consider a photon impinging on the target. Three events are possible, two of which are undesirable:

1. Photon reflected from target (BAD).
2. Photon scattered from target (SAD).
3. Photon absorbed by target (HAPPY).

If this absorbed photon flux-in-the-bucket is of sufficient magnitude and duration, then the resulting heating can cause thermal imbalance, melting or burn through of the object ... possibly a mission accomplished! Figure 16 presents absorptance wavelength dependence for several surface coating materials. Note that other than black paint, these materials have relatively low absorptance for SWL wavelength in the 1 to 2  $\mu$ m. At excimer wavelengths ( $\sim 0.4 \mu$ m), on the other hand, over 80% of the laser energy is absorbed. We see that to achieve the same lethality, an O<sub>2</sub>I system may have to deliver approximately four times the flux-in-the-bucket as its shorter wavelength excimer laser cousin.

Target coupling is the most important facet of our photon odyssey—as it is the prime mover for SWL system requirements. Only a portion of incident laser energy is absorbed by the target object. This coupling value can vary by almost an order of magnitude over the spectrum of wavelengths and materials. Usually the beam must be held on a target spot for several seconds to effect damage, thus putting stringent requirements on SWL tracking and aimpoint maintenance.

### 13 Adaptive Optics: a Potential Rx for SWL System Brightness

AO can in principle correct the majority of system and atmospheric-induced phase aberrations, and so recover a near-pristine laser beam. Today's HP laser systems use mechanical DMs for phase correction. These are complex, cumbersome, and generally unreliable, involving up to hundreds of individually reticulated actuators, plus wavefront sensors and sophisticated software. NLOs could provide automatic, nonmechanical HP beam correction. Though this technology is relative nascent, it is the essential enabling technology for SWL beam control! First, let us discuss

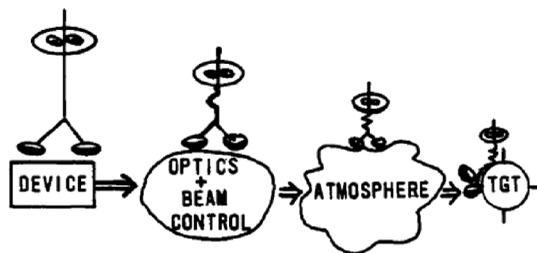


Fig. 15 Felix the Flux short wavelength lasers (SWL) photon odyssey: A to Z.

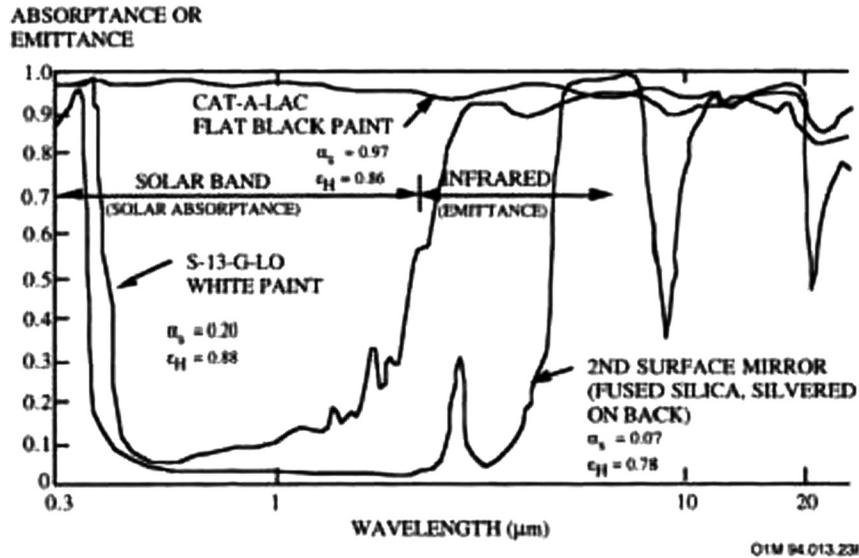


Fig. 16 Spectral absorbance for surfaces (Ref. 4).

mechanical AO. Low order aberrations (e.g., Tilt) are best corrected by a beam steering mirror. This unit, in concert with a Tilt sensor has been shown to correct for two-Axis Tilt at bandwidth  $\sim 500$  Hz. Our system telescope corrects for focus errors. The DM correct HO phase aberrations for both the optical train and the atmosphere. Figure 17 shows a typical mechanical AO subsystem. Note that this system using a return beam from the target vicinity can compensate for most system phase distortions. The mirror itself may be cooled or uncooled. A number of individually reticulated actuators are pushed and pulled to locally deform the thin mirror surface. Typical strokes required for SWL GBL DM actuators are a few wavelengths. Bandwidths of  $\sim 1$  kHz have been demonstrated for 500 actuator DMs.

The number of actuators required is determined by the SWL telescope area,  $A$ , and the system “coherence length”. For a GBL, this latter parameter is generally dominated by the atmosphere, scaling as

$$r_O \propto \left[ \frac{1}{\lambda^2} \int_0^T C_n(Z) dZ \right]^{-\frac{3}{5}}, \quad (6)$$

where  $\lambda$  is the wavelength,  $T$  is the target range, and  $C_n$  is the atmosphere structure constant (a measure of atmospheric

optical turbulence severity). Physically,  $r_O$  is the maximum diameter of a transmitting telescope that can deliver, without phase compensation, a high quality beam to a target through the atmospheric path. One finds from Eq. (6) that  $r_O \propto \lambda^{6/5}$ .

Now the number of DM actuators required is  $N \sim A/r_O^2$ , with  $A$  the area of the telescope. For a 2 m diameter aperture, Table 2 depicts the number of actuators for several laser wavelengths.

Note for a fixed aperture, the number of DM actuators scales as  $N \propto 1/\lambda^{12/5}$  ( $N$  can be considered a measure of AO system complexity). Remembering that the inherent brightness of a laser scales as  $B \propto 1/\lambda^2$ , we see that for a fixed aperture size, the ratio of system brightness achievable to complexity of the AO system is  $\sim$  wavelength independent (it actually scales as  $\lambda^{2/5}$ ).

A SWL GBL system without a phase compensation system is severely limited in brightness achievable; the maximum aperture for transmission of a high quality beam is  $D \sim r_O$ , the effective atmospheric coherence length. As noted above,  $r_O$  ranges from a few centimeters to  $\sim 25$  cm for SWLs. An AO system can markedly reduce certain phase aberrations and so increase the “effective  $r_O$ ”, defined as  $R_O$ . A crucial goal is to render  $R_O > D$ . In fact, if one can sculpt an  $R_O$  of several aperture diameters (3 to 4), a corrected atmospheric Strehl of close to unity can be achieved. Remember that two classes of SWL degradations can never be corrected by an AO system:

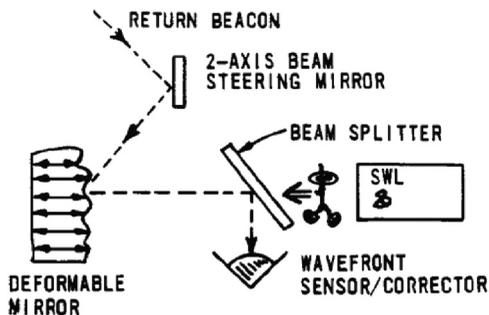


Fig. 17 Short wavelength lasers (SWL) adaptive optic (AO) systems.

Table 2 Number of actuators for several laser wavelengths.

$\lambda$ ( $\mu\text{m}$ )	$r_O$ (cm)	$N$ (actuators)
0.4	4	1964
1.3	16	123
3.8	45	16

1. Absorption.
2. Scattering events, of any ilk, which fall outside the FOV of our AO wavefront sensor.

Even discounting such unrecoverable losses, there are clearly no perfect AO systems. In general, there will be residual tilt and HO losses.

$$I_{RES} \sim C \frac{1}{\lambda^2 + \Delta^2} e^{-\left(\frac{2\pi\phi}{\lambda}\right)^2}, \quad (7)$$

where  $\Delta$  is residual RMS tilt variance,  $\phi$  is residual RMS phase variance, and  $C$  is a constant. This has the same form as Eq. (4). Thus, even with a SWL AO system, there is an optimal wavelength for a given ensemble of system phase aberrations. We will return to this crucial point. So ... stand by!

Mechanical AO systems are cumbersome and complex. In principle AO can correct for many system aberrations, including atmospheric optical turbulence effects. SWL systems having output apertures  $\sim 2$  m will require  $\sim 100$  to  $2,000$  actuators—with system bandwidths  $\sim 1$  kHz. residual phase errors will always exist. An optimal laser wavelength always exists which yields maximum brightness for a particular ensemble of residual errors.

### 14 Modularity: the High Tech Trek to Ultra Bright SWL Systems

We noted earlier that as one scales a monolithic laser system in power, device brightness eventually degrades due to loss of BQ. Once the maximum size of this “unit cell” is known, further scaling can occur via coupling of several unit cells. Let’s estimate the maximum brightness for a monolithic  $O_2I$  laser to be  $B^* \sim 1.3 \times 10^{14}$  W/cm<sup>2</sup>, with  $P^* = 5 \times 10^6$  W  $n = 1.5$  (minimum acceptable device BQ)  $\lambda = 1.3 \times 10^{-4}$  cm.

Next, consider an array of  $N$  such coupled lasers; then

$$I = \sum_1^N A_i^2,$$

where  $A_i$  is the amplitude of the “ $i$ th” laser. If all devices are identical and fully coherent, then

$$I = \left| A_1 + \dots + A_N \right|^2 = N^2(A^*)^2$$

or

$$I_C = N^2 I^*.$$

Note that the far-field intensity of a perfectly coherent array scales as the square of the number of modules. If this array was totally incoherent, and all lasers pointed to the same spot, it is obvious that

$$I_{INC} = N I^*.$$

Actual device arrays are partially coherent; their performance falls between these extremes as shown in Fig. 18. In general, coupled device arrays are married with coupled telescope ensembles to yield modular SWL systems.

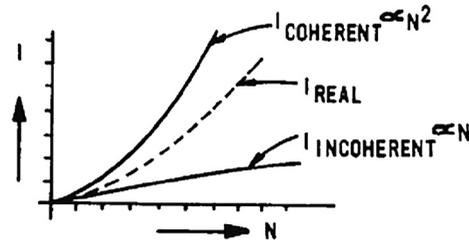


Fig. 18 Performance scaling of  $N$  coupled lasers.

Advantages of modular SWL systems include:

1. Potentially scalable to very high brightness;
2. Reduced flux levels on optics due to ability to distribute flux among apertures;
3. Graceful degradation ... loss of single aperture not crippling, [e.g.,  $I_C = (N - 1)^2 I^*$ ];
4. Relative insensitivity to (uncorrelated) jitter among modules—this point will be developed shortly;
5. Possible optics cost savings, *vis-a-vis* a monolith. Recall a monolithic has cost  $C \propto A^{3/2}$ , where  $A$  the telescope area ( $A \propto D^2$ ).

For a modular system, with  $N$  mirrors, each with diameter  $D/N^{1/2}$ ,

$$C' = N \left( \frac{D}{N^{1/2}} \right)^3 \sim \frac{A^{3/2}}{N^{1/2}}.$$

Thus, we find a potential cost savings of  $1/(n^{1/2})$  for modular optics, compared with a monolithic with the same total optics area!

Modular systems have disadvantages:

1. Greater complexity, less reliability because of multiple beam lines and larger numbers of optics.
2. Enhanced software requirements because each beam channel must “talk” with all others to preserve coherence.
3. The performance of modular lasers is extremely sensitive to the magnitude of piston error variance among the apertures.

We continue by examining the far field performance of a coupled array of  $N$  SWL systems. Figure 19 shows such an array. We define  $\sigma_p, \sigma_T$  as the RMS piston and tilt errors, respectively. The corresponding phase errors are

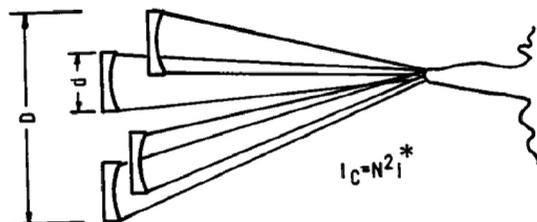


Fig. 19 A coherent array of  $N$  modules.

$$\phi_p = \frac{\sigma_p}{\lambda}$$

and

$$\phi_T = \frac{\sigma_p}{(\lambda/d)},$$

where  $\lambda$  is the system wavelength and  $d$  the diameter of each subaperture. Now the effective diameter of the array is

$$D = N^{1/2}d.$$

And the Strehl loss due to  $\phi_p$  and  $\phi_T$  is just<sup>5</sup>

$$I = \frac{e^{-(2\pi\phi_p)^2}}{1 + \frac{\pi^2}{2(\phi_T/N^{1/2})^2}}. \quad (8)$$

Here, we assume no jitter correlations exist among the  $N$  beam trains. For comparison, the corresponding Strehl loss for a monolithic system is identical with the above, except with  $N^{1/2} = 1$ .

Several important conclusions follow:

1. The effective (uncorrelated) jitter of an  $N$  aperture array is reduced by  $1/N^{1/2}$  compared with a uniformly illuminated single aperture having the same effective diameter. Note that if there is correlated jitter among the modules, as for example, if the telescopes are mounted on a common gimbal, then the individual jitters are somewhat additive, and much of the intrinsic advantage of an array can be lost.
2. Piston errors must be controlled to tight tolerances since Strehl loss scales as  $e^{-(2\pi\phi_p)^2}$ . In particular, if the random piston error variance across an array is  $\sim\lambda/10$ , then the loss of far field performance due only to this error source is  $I = 0.67I_o$ .

Before departing modular systems, let us pursue the above  $O_2I$  example to show the potential brightness enhancement of an array of coupled devices. Recall for our unit cell,  $B^* \sim 1.3 \times 10^{14} \text{ W/cm}^2$ . Suppose the mission requirement is  $B \sim 10^{16} \text{ W/cm}^2$ . This can be achieved with

1. Nine perfectly coherent lasers;
2. 77 incoherent lasers; or
3. An intermediate number of partially coherent devices.

- Uncorrelated jitter of an n-aperture coupled array is reduced by  $1/n^{1/2}$  over that of an equivalent single aperture.
- Piston RMS errors are the most critical error source for a coupled array. Total RMS errors among n apertures must be  $<\lambda/10$  to maintain a strehl loss of no less than 0.67. Failure to maintain these stringent piston error tolerances will thwart the ultrabright promise of modular SWL systems!
- The tight piston error tolerances required of modular SWL systems may be unachievable via traditional (mechanical) beam control. Rather, i believe the real fruits of modularity will only be harvested by applying NLO techniques to SWL systems.

### 15 Nonlinear Adaptive Optics: the Quintessential Enabling technology for SWL Systems

NLO is the beam control oasis along our path to high brightness SWL systems. Traditional (mechanical) AO systems are cumbersome, costly, complex, and unreliable. While they may suffice for entry level SWL systems, they must “go the way of the albatross” as we “grow the technology”. Conventional AO systems require DMs, wavefront sensors, and reconstructors (analog-to-digital converters). A NLO AO performs all these functions automatically ... within the medium. Let’s now “reflect” on a NLO AO system. First, consider an ordinary mirror per Fig. 20. Enroute, Felix the Flux encounters a phase “bump”—any sort of phase aberration. As a result, Felix develops a phase “hump.” After bouncing off the mirror at the specular angle, the hump is still there, but just reversed in phase.

Next, consider our NLO mirror in Fig. 21. The NLO materials have two wonderful properties:

1. Photon that interacts with the NLO medium are back reflected along the same path as they entered (e.g., analogous to the action of an optical corner cube);
2. The entire reflected beam is phase conjugated, so that it becomes pristine after traveling back through the original distorting medium.

Figure 21 shows Felix getting “straightened” out by the NLO medium. Again, he picks up an unwanted phase “hump” as a result of the bump. Now, however, the NLO medium returns the beam along the same path and with the bump now having the conjugate phase. The happy result when Felix passes back through the aberration is a diffraction-limited Felix. In other words, the NLO process has rendered Felix “Antiberrated” and he becomes ideal after being

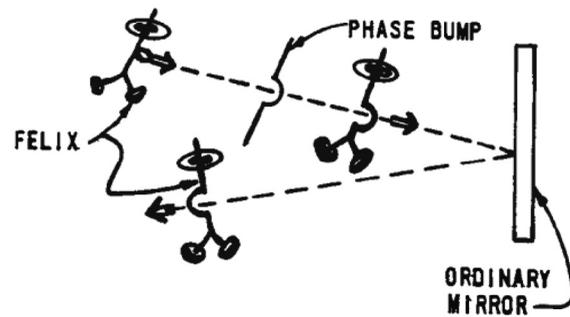


Fig. 20 Conventional mirror and aberrated beam.

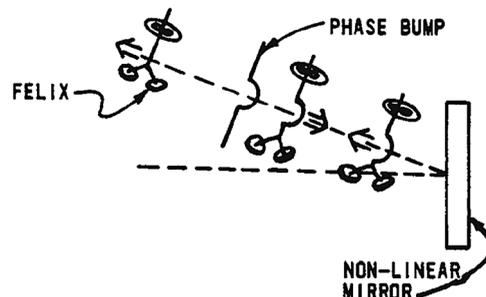


Fig. 21 Nonlinear optics (NLOs) mirror and aberrated beam.

transmitted back through the inhomogeneity. I have shown the final Felix a little smaller because the efficiency of NLO processes is less than unity; however, efficiencies of 50% to 80% are not unusual.

Albeit with much more difficulty, note that a conventional AO system could have made Felix pristine for completeness. To do so, the hump in Felix would have to be sensed and digitized. Next, several mechanical actuators in the mirror would be pushed against the thin face sheet to create a counterhump. Once finessed, a corrected Felix would reflect at the specular angle. If the mirror could point Felix precisely back through the original atmospheric “bump,” he would emerge nearly diffraction limited.

Next, I will sketch the rudiments of NLO processes. Then we will examine some exciting near-term applications. Optically, nonlinear materials can produce a reflected phase-conjugate beam. Figure 22 aids my explanation. Stimulated Brillouin scattering (SBS) occurs when an intense laser beam is directed into a transparent medium. Threshold laser powers are of order 1 MW. A sound wave results which establishes a “density grating,” is with a spacing of  $\sim\lambda/2$ . Moreover, this can also be considered an index of refraction “grating,” though the photons are initially reflected weakly; however, this beam interfaces with the continuously arriving incident beam that amplifies the pressure-density variations, which increases the reflected energy etc. The net result can be an efficiently reflected beam that is the phase conjugate of the incident beam. Moreover, each reflected ray precisely retraces its entry path.

Four wavelength mixing (FWM) is a NL process in which three beams are input and one is output as shown in Fig. 23. Reference beam A, B, form a density grating. Beam D, the degraded flux, interacts with this pattern. The result again is a back reflected, phase conjugated beam . . . VOILA! Two features of FWM are particularly attractive for certain applications:

1. Threshold powers are much lower than SBS megawatt levels.

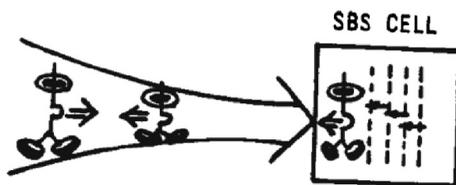


Fig. 22 Stimulated Brillouin scatter (SBS): an optical nonlinear process.

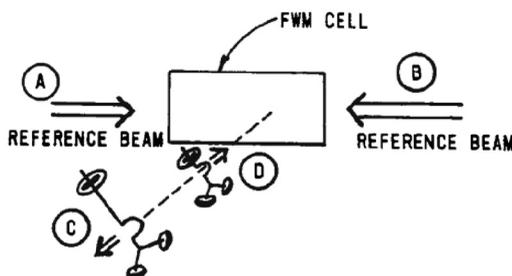


Fig. 23 Four wavelength mixing (FWM): an optical nonlinear process.

2. The output beam can be much more powerful than the input beam “D,” as energy is acquired from the reference beams!

Now I’ll discuss several near term applications for nonlinear AO. Supporting research for some of these applications is now underway within my organization at the AFWL.

### 15.1 NL AO modular laser systems demonstration

The premier challenge in coupling together laser systems or “unit cells” is to maintain coherence among the several units. This is an essential prerequisite to realize the  $N^2$  brightness enhancement from a fully coherent array. Figure 24 shows our setup at the AFWL involving three 10 to 15 J excimer lasers. One laser is used as a master oscillator (MO) to drive two excimer power amplifiers (PA). First, the high quality MO beam is injected into the two PA. An ideal amplifier would not distort the beam; however, the index-of-refraction variance would have to be controlled to at least  $10^{-6}$  for coherence to be maintained between the PAs. These excimer amplifiers have highly aberrated media. Thus, the emerging beams which enter our SBS (gas) cell are both highly aberrated and partially incoherent. The SBS process “retroreflects” and tailors each beam to its phase conjugate. Thus, the amplified beam emerging from the twin excimer amplifiers at detector D are both good quality and highly coherent . . . and the system brightness is expected to be  $\sim$  four times (i.e.,  $N^2$  factor) that of either beam line.

### 15.2 Correction for thermal blooming and turbulence via NLO techniques

A high quality, repetitively pulsed laser propagates through a cell containing a highly absorbing gas as seen in Fig. 25. Severe TB results. This aberrated beam is phase conjugated back through the cell and imaged at D. Here are some of our recent observations:

1. If the TB cell is removed and a conventional flat mirror positioned in front of the SBS cell, the imaged beam is  $\sim$  diffraction limited with spot diameter 1.0 units and a Strehl of unity (i.e., good intrinsic system BQ).
2. If the TB cell is inserted and the conventional mirror retained, back reflected beam is severely distorted; spot diameter is  $\sim 3$  to 5 units and the resulting Strehl is severely reduced.
3. If the conventional mirror is removed so the TB and SBS cells are in place, the imaged spot is found to again have a diameter  $\sim 1.0$  unit and a Strehl of near unity.

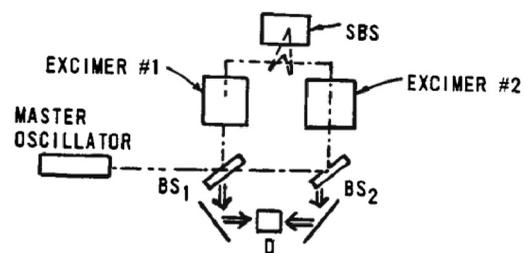
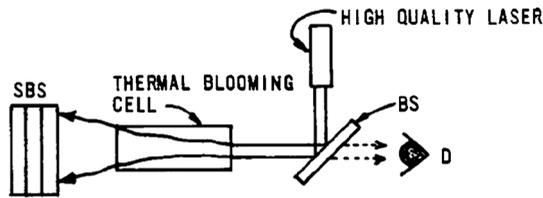


Fig. 24 Nonlinear adaptive optics (AO) application: coupled excimer lasers.



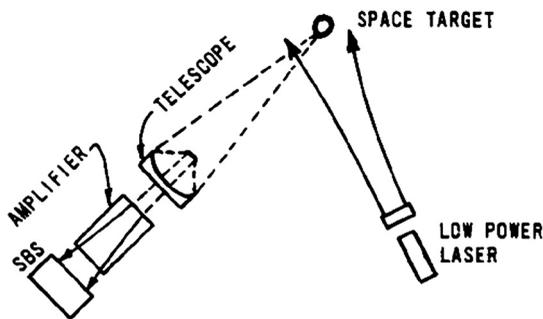
**Fig. 25** Nonlinear adaptive optics (AO) application: thermal blooming (TB) correction.

Thus, taking into account slight absorption losses in the TB cell, we conclude that SBS has demonstrated the ability to completely correct for a severe TB environment. This might be extended to the field for propagating a HP short wavelength GBL to space, for example. Figure 26 shows the idea. A low power laser, wavelength  $\lambda$ , illuminates the object. Some scattered energy is collected, amplified, and SBS processed. Since all distortions from target to SBS cell are imbedded in the entry beam—natural turbulence, TB, amplifier, and optics aberrations, etc., the HP beam arriving at the space target is—diffraction limited. Note that pointing and tracking is “automatic” if within the FOV of our telescopes.

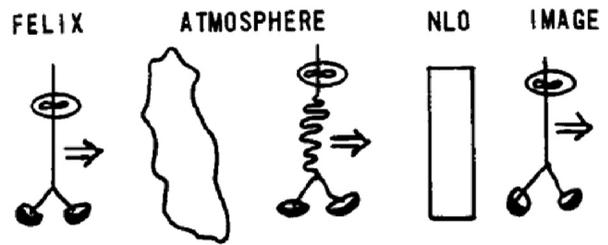
Though this concept is “MEGA-Exciting”, it has several limitations. For example, the atmospheric relaxation time is about  $10^{-3}$  s. That is, if a space object is imaged through the atmosphere from a ground site, a new and random realization of the “distorted” image will be seen each millisecond. Though this restricts viewing frame time to  $\sim 10^{-3}$  s, the illuminator could operate continually for extended high fidelity observations. Secondly, due to light’s finite velocity, distant space objects cannot be “sampled” directly by the low power laser as a prelude to HP SWL satellite engagement. Satellite velocities are  $\sim 7$  km/s; in the time required for the laser beam to return from the object ( $10^{-3}$  s for 300 km range), the satellite has move  $\sim 7$  m. Moreover, the HP laser must “lead” by an additional 7 meters. The net result is that the “corrected” HP beam moves through a new and different piece of atmosphere ...and so suffers severe distortions. The solution: instead of using the space object as a reference, one must obtain atmospheric optical turbulence information in the lead-ahead direction. Finally, we turn to a FWM application.

**15.3 Imaging via nonlinear adaptive optics techniques**

Application of nonlinear techniques offers the prospect of enhanced imaging through distorted media (e.g.,



**Fig. 26** Nonlinear optics (NLOs) for A-to-Z short wavelength lasers (SWL) beam control.



**Fig. 27** One way nonlinear imaging.

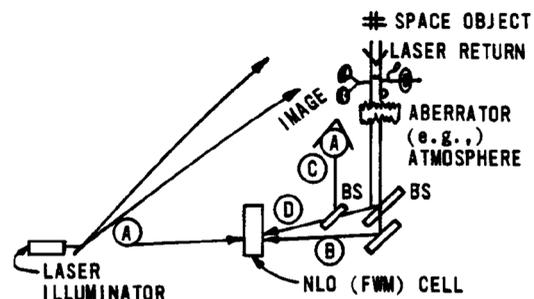
atmosphere). Figure 27 shows this scenario. Felix the Flux travel from space through the atmosphere, becomes badly distorted. By using an (FWM) AO, Felix’s image can be recovered after just one way transmission through the atmosphere.

Figure 28 shows our lab setup. A laser illuminates the object, while the remainder of the beam serves as reference A. The space image passes through the aberrator (simulated atmosphere) and is split into two parts. One part becomes the other (degraded) reference B. The degraded image D interacts with B in the cell a phase conjugated back reflective C is detected as shown—a nearly pristine image.

In summary, system aberrations—optical or atmospheric—can seriously degrade the quality obtained by conventional imaging techniques. One way imaging via nonlinear (FWM) techniques offers significant image enhancement. Resolutions of 20 line pairs/millimeter have been demonstrated at the AFWL ...in the presence of strong optical aberrations.

Nonlinear AO offers a quantum jump in SWL beam control and image enhancement capabilities. Conventional AO techniques are complex and unreliable, involving costly mechanical DMs, sensor arrays and sophisticated software. A nonlinear AO accomplishes its task automatically and—all the above functions are done within the medium.

There is a lofty hurdle to overcome to realize the payoff of NL AO techniques ...the dearth of available materials. Nonlinear materials that can handle HP SWL beams and offer fast response times are essential ... and not available today! This quest must continue—the payoff of NL adaptive technique for SWL is immense—it is indeed the enabling beam control technology for future high brightness laser systems.



**Fig. 28** One way image reconstruction via nonlinear optics (NLOs).

## 16 Wavelength Scaling: Finding the Optimal SWL for your Application

We have completed our photon odyssey from device to target with our friends Freddy and Felix. We found system aberrations, absorption, and scattering to be rampant. The SWL beam arriving at the target is both spread and reduced in Strehl—that is, the delivered flux-in-the-bucket generally falls well below a diffraction limited system.

AO offer an opportunity to correct certain phase aberrations and recover a portion of this “lost” BQ. Mechanical AO systems are cumbersome and costly. Nonlinear AO techniques offer a chance to leap over the beam control chasm in one jump. Although applications abound, the pace of progress will be limited by the availability of materials, particularly for HP SWL missions.

Suppose we have carefully optically orchestrated our SWL system—BQ, transfer optics, beam path conditioning, etc.—and have integrated our best AO subsystem. Our SWL system will still have residual phase errors. Net performance is represented by system Strehl Eq. (7):

$$I \sim C \frac{1}{\lambda^2 + \Delta^2} e^{-\left(\frac{2\pi\phi}{\lambda}\right)^2}$$

with

$$C = \frac{PA}{n^2 Z^2},$$

where again  $P$  is the power,  $A$  the aperture,  $n$  the device BQ,  $Z$  is the target range,  $\lambda$  is the wavelength,  $\Delta$  is the residual jitter (recall, TB can be treated as a jitter), and  $\phi$  is the residual phase variance.

To examine effects of uncorrected jitter and phase variance on far-field performance, we set  $\partial I / \partial \lambda = 0.0$  in the above equation, finding

$$\lambda^* = 2\pi\phi \left\{ \frac{1 + \left[ 1 + \left( \frac{\Delta}{\pi\phi} \right)^2 \right]^{1/2}}{2} \right\}^{1/2},$$

where  $\lambda^*$  is the wavelength yielding maximum system brightness for a given  $\Delta$  and  $\phi$ . Next, we explore several limiting cases of the above optimization relationship.

Case I.  $\Delta = 0$  (No system jitter)

$$\lambda^* = 2\pi\phi.$$

Note that this is the same result found earlier for a SWL system dominated by HO phase aberrations.

Case II.  $\Delta \gg \phi$  (System jitter dominant)

$$\lambda^* \propto (2\pi\phi\Delta)^{1/2}.$$

Case III.  $\Delta > \phi$  (i.e., Jitter somewhat larger than high order aberrations).

Results are shown in Table 3:

Again we see jitter has a relatively weak effect on wavelength selection for optimal system brightness.

**Table 3** Optimal wavelength dependence on system jitter to phase ratio ( $\Delta/\phi$ ).

Jitter ( $\Delta$ )	$\lambda$
None	$\lambda$
$1 \phi$	$1.01 \lambda$
$2 \phi$	$1.04 \lambda$
$5 \phi$	$1.20 \lambda$
$10 \phi$	$1.47 \lambda$
$20 \phi$	$1.93 \lambda$

An Example: Suppose our goal is to design and field a SWL system for a ground-to-space mission. We are aware of the brightness optimization equation, but do not understand this magnitude of either natural (e.g., turbulence) or beam-induced (e.g., optics heating, TB) effects. We pick  $\lambda = 1 \mu\text{m}$  as our candidate laser wavelength. Our instructions to the SWL system designers include

1. Keep total optics figure (RMS phase variance) to  $\lambda/8$  ( $\lambda \sim 1 \mu\text{m}$ );
2. Make all mirror reflectivities  $>0.99$ ;
3. Keep system mechanical jitter  $\theta_j < \lambda/D$ , where  $D$  is the telescope diameter and  $\lambda/D$  the approximate system diffraction angle.

Now our optimal wavelength considering only HO aberrations is

$$(\lambda^*)' = 2\pi(\phi_O^2 + \phi_A^2 + \phi_B^2)^{1/2}, \quad (9)$$

where  $\phi_O$  is the RMS (intrinsic) variance in the optical train;  $\phi_A$  is the RMS variance due to natural turbulence; and  $\phi_B$  is the RMS variance from beam-induced mirror heating.

Equation (9) reflects the residual errors after our AO system has been activated. Suppose we succeed in building our optics such that  $\phi_O = 0.125 \mu\text{m}$  (i.e.,  $\lambda_O/8$ ) but are surprised to find that the uncorrected contributions from atmospheric turbulence and beam intensity on optics are comparable to  $\phi_O$ ; then

$$(\lambda^*)' = 2\pi[3(0.125)^2]^{1/2} \mu\text{m} \sim 1.35 \mu\text{m}.$$

Next, we find mechanical jitter has been controlled within tolerance, but TB (uncorrected) is large. Since TB acts as a jitter term, we see from Eq. (7) that our optimal wavelength is still further increased. This table shows that severe blooming could easily raise our “optimal” wavelength above  $1.5 \mu\text{m}$ .

The point is that any uncorrected phase aberrations, either tilt or HO, erode the benefits of SWL systems; moreover, these effects tend to push the system toward longer wavelength if maximum brightness (performance) is the goal.

- Selection of a SWL that maximizes system brightness depends on all uncorrected system errors—mechanical jitter and TB as well as HO aberrations, including man-made (e.g., optics figure), natural (atmospheric turbulence), and beam induced (e.g., disfiguring of optics due to beam amplitude irregularities).
- Generally, HO aberrations dominate wavelength scaling/optimization. The composite of all HO RMS phase errors must be kept less than  $\lambda/6$  (wavelength) for crisp mission performance.
- Jitter only effects wavelength selection if it becomes much larger than the HO errors; however, for a particular wavelength, total system jitter must be quieted to less than the diffraction angle (i.e.,  $\phi_j < \lambda/d$ ). Otherwise, far-field performance degrades markedly.
- Each system error must be kept within less than a wavelength  $\lambda$  or  $\lambda/d$ , the diffraction-limited angle, if the benefits of SWL laser systems are to be realized. If not, the optimal brightness can occur at longer wavelengths than planned. That is, less performance is available at the design wavelength than achievable at longer wavelengths.

## 17 Conclusion

The trek to high brightness laser system is very difficult . . . in fact (tough)<sup>N</sup> . . . with  $N$  a large exponent. Technical thickets and beam control brambles abound! However, the path to success can be traversed and, the payoff is prodigious. HP lasers are essential elements, though emphasis shifts to beam control—careful optical engineering to orchestrate the laser energy from device to target in a pristine manner. No recipe exists for accomplishing this “PHOTON FEAT”; however, I offer these guideposts along our path to high brightness laser systems:

1. High quality SWLs offer the best start to high brightness. Intrinsic performance scales inversely as the square of the wavelength.
2. The SWLs can cost less per brightness unit due to reduced power and aperture requirements. Moreover, recent iodine laser scaling at the AFWL suggest cost  $\propto$  (power)<sup>1/2</sup> in contradistinction to the usual cost  $\propto$  (power) for large lasers.
3. Optical train phase aberrations magnify rapidly with decreasing wavelength ( $\lambda$ ). Three sources of distortion exist: man-made (e.g., optics figure), natural (e.g., turbulence), and beam induced (e.g., mirror heating, TB). If the aberrations are uncorrected, system far-field performance is markedly reduced. Brightness can be partially recovered via meticulous “A to Z” optical engineering—including AO technique.
4. All monolithic SWL devices have a power scaling ceiling, beyond which brightness saturates due to BQ or optical component degradations. Further scaling requires lashing together or optically coupling multiple lasers.

5. Material windows are a real challenge—most HPSWL systems will require transmitting elements. Both coatings and bulk material absorb laser energy. Single window temperature gradients of less than 1° C, if uncorrected, can cause severe emasculation of performance. Bulk cooling may be required; none has been demonstrated under HP conditions. Developing low absorption, cooled windows is a prerequisite for many high brightness SWL applications.
6. Cooled mirrors are an important subsystem for most HP SWL mission. Traditional mirrors have high coolant-induced jitter, again leading to reduced system brightness. A laminar flow cooled mirror has been developed at the AFWL that promises ample power handling with near-zero jitter performance. HP testing is underway.
7. A HP ASE is central to many SWL thrusts—an on-axis tracker generally greatly improves HP boresight/aimpoint accuracies. The sporty ASE requirement is that it should handle with high fidelity both the outgoing HP laser and the incoming (low power) tracker signal ASEs have never been built at size and tested under high flux loadings.
8. Low absorption coatings are ubiquitous facets of HP SWL systems—all optics will employ them. Low absorption coatings (<200 PPM) have been demonstrated for small (few cm) diameters under laboratory conditions. Integrity for scaled sizes under HP loading must be shown. Deterioration under quasi-operational conditions is also unknown. Scattered energy from optical coatings is important as it can spray “noise” radiation on system sensors.
9. The TB can severely degrade a GB SWL mission. The capability of AO to correct the resulting distortions, especially when accompanied by natural turbulence effects, is moot. Clearly, definitive HP propagation tests are required. The inability to compensate for these nonlinear atmospheric effects could disable HP SWL GB missions.
10. Target coupling, which is the percentage of incident laser flux absorbed by the target, can vary by an order of magnitude over the range of SWLs and materials. Yet, a good understanding of coupling is essential, as it is the prime driver of system requirements.
11. AO must perform two vital functions if the high brightness promise of SWLs is delivered—sensing system phase aberrations and impressing the conjugate of these aberrations on the HP beam. Mechanical AO systems: DMs, wavefront sensors, and digital to analog converters are complex and unreliable. Several hundred actuators at  $\sim 1$  kHz bandwidth have been demonstrated under low power conditions. Scaling to even modest apertures (e.g.,  $\sim 2$  m diameter) can require  $2 \times 10^3$  actuators. Mechanical AO offers at best a short-term solution for SWL systems. Sequel AO subsystems will feature NLO techniques . . . true quantum leap in laser beam control and image enhancement. The twin AO functions are done automatically—no mechanical parts—and with high fidelity. Nonlinear materials must be identified, which combine HP capability with rapid response ( $<10^{-3}$  s). The payoffs of nonlinear

AO are gargantuan . . . indeed, the key enabling technology for future high brightness laser systems.

12. Modularity is an inevitable tack along the path to high brightness SWL systems. Monolithic systems have brightness ceilings—due to physics or engineering limitations. The challenge of SWL modularity is to lash together devices and telescopes coherently, so the composite acts as a single powerful system. A perfectly coherent array will garner a Strehl of  $N^2 \times I_o$ , with  $N$  the array number and  $I_o$  the subaperture Strehl. A performance lower bound—complete incoherence—is  $N^2 \times I_o$ . Real systems will “sing” between these extremes. Modular laser systems offer several pluses in addition to inherent brightness scalability . . . graceful degradation, relative insensitivity to uncorrelated joint jitter, and a potential cost savings in optics (it appears that a blizzard of small optics is cheaper than a few larger optics). Disadvantages include more complexity—computationally and optically (remember each channel must talk in harmony with all others to achieve coherence). Most importantly, modular system far-field performance is horrifically sensitive to piston errors among subapertures, e.g., an RMS error of one-tenth wavelength yields a Strehl of 0.67. Mechanical AO systems are almost certainly an implausible solution; I believe modularity’s manna will only flow from the application of NLO techniques. Marvelous progress has been made at the AFWL in laboratory demonstrations of NLO coupling of devices and telescopes. The next crucial steps—integrated brassboard system demonstrations—are underway by my “photon foxholers.” If successful, the key enabling technology for scaling SWLs to ultra-high brightness will be ours.
13. Every SWL concept will deliver peak brightness for a particular wavelength ( $\lambda^*$ )—that is, any other wavelength system will have a smaller Strehl!  $\lambda^*$  can be estimated analytically, if the ensemble of (uncorrected) system phase aberrations is known. Location of  $\lambda^*$  is most sensitive to high order (HO) aberration (i.e., beyond tilt and piston) for monolithics, while modular systems  $\lambda^*$  will depend heavily on both piston and HO. The HO aberration sources include intrinsic optics, atmospheric, and HP beam induced. Any AO system will leave residual errors, which in turn determine  $\lambda^*$ . In general, the promise of high brightness lasers will be realized only if these residual HO aberrations are less than  $\sim \lambda/6$ , and system jitter is kept to substantially less than the system diffraction angle ( $\lambda/D$ ). If these lofty goals are unachievable for a given SWL system, then higher brightness may be found at longer wavelengths!

I close with this précis: the journey to high brightness SWL systems is tortuous . . . but trekkable . . . and the payoff is immense! Potential pitfalls and pratfalls exist. Guideposts

indicate tough optical engineering, with a dollop of physics, is the key. Ordered priorities in developing these exciting SWL systems are:

1. Beam control
2. Beam control
3. Optics
4. Devices

(No, I did not repeat myself!)  
HAPPY PHOTON TRAILS!

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